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### Equity of access to critical care among elderly patients in Scotland: a national cohort study

**Citation for published version:**

Docherty, AB, Anderson, NH, Walsh, TS & Lone, NI 2016, 'Equity of access to critical care among elderly patients in Scotland: a national cohort study', *Critical Care Medicine*, vol. 44, no. 1, pp. 3-13.  
<https://doi.org/10.1097/CCM.0000000000001377>

**Digital Object Identifier (DOI):**

[10.1097/CCM.0000000000001377](https://doi.org/10.1097/CCM.0000000000001377)

**Link:**

[Link to publication record in Edinburgh Research Explorer](#)

**Document Version:**

Peer reviewed version

**Published In:**

Critical Care Medicine

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## **ONLINE DATA SUPPLEMENT COVER SHEET**

### **Manuscript Title**

**The elderly in Scottish Intensive Care Units: is there equity of treatment?**

### **Authors**

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## **SUPPLEMENTARY METHODS**

Inclusion criteria were patients resident in Scotland aged 16 or older whose first admission to general ICUs and combined units occurred between 1<sup>st</sup> January 2005 and 31<sup>st</sup> December 2009. Exclusion criteria were ICU records without valid linkage to the Scottish national databases or unknown healthboard of residence. Patients transferred between ICUs were counted as one admission, with the admission date taken from the first unit, and discharge date from the last unit.

### **Setting**

#### *Configuration of Critical Care services in Scotland*

Between 2005-2009, SICSAG collected data on all admissions to all 23 general Intensive Care Units (ICU) and Combined Units (units with a combination of ICU and High Dependency Unit (HDU) beds). During the study period, specialist neurosurgical and cardiothoracic ICUs were not included, nor were standalone HDUs. The organisational set-up differed between healthboards.

### **Variables**

#### *Comorbidities*

These were derived from the APACHE II (Knaus et al., 1985) comorbidities (severe cardiovascular disease, severe respiratory disease, severe liver disease, immunosuppression, end stage renal disease and metastatic cancer) and the seventeen comorbidities used in the Charlson comorbidity list<sup>E1</sup> recorded in SMR01. The APACHE II comorbidities were entered by ICU staff at the time of admission to ICU. The Charlson comorbidities were derived from a one year “look-back” period from the date of the hospital admission during which the index ICU admission occurred using published ICD coding algorithms (Quan et al., 2005). The comorbidity categories were combined to produce 20 comorbid categories. These were

combined into eight binary categories to define comorbidity by system (cardiovascular, respiratory etc).

#### *Socioeconomic status*

Socioeconomic status was measured using the Scottish Index of Multiple Deprivation (SIMD) 2009<sup>E2</sup>. SIMD is an area-based ranking measure of relative deprivation across Scotland and was used in analyses as quintiles (1: least deprived, 5: most deprived).

#### *Acute Physiological Score*

Age is a key component of the APACHE II risk prediction model which confounds the comparison of severity of illness between elderly and younger patients. We therefore derived the Acute Physiology Score (APS) by subtracting age points and chronic health/admission type points from the APACHE score, which we used when comparing age bands.

#### *Organ support*

Organ support was defined as the presence or absence of invasive mechanical ventilation, inotropic/vasopressor therapy (this includes the use of dopamine, though its use is minimal in Scotland), and renal replacement therapy at any time point during ICU stay. This was reported as a binary variable. A count of numbers of organs supported was also calculated. Organ support duration was calculated as the number of calendar days the patient received organ support

### **Statistical analysis**

#### *Incidence of admission*

Incidence of admission of elderly patients to ICU was calculated by dividing the number of patients  $\geq 80$  yrs admitted by the mid-point estimate of the Scottish population  $\geq 80$  yrs for each year, obtained from the National Records of Scotland. Direct standardisation was performed for sex and deprivation using the elderly standard Scottish population (the mean population

≥80yrs between 2005-2009). This process was duplicated for the younger age group admission incidence, using the mean population 16-65 between 2005-2009

### **Subgroups**

Emergency abdominal surgery diagnosis was defined by a combination of “Type of admission” = “Emergency Surgery” + any of the following APACHE diagnoses: GI bleed, GI infection/diverticulitis, GI ischaemia, GI neoplasm, GI obstruction, GI perforation/rupture, GI surgery. Pneumonia diagnosis was derived directly from APACHE diagnostic categories.

### **References**

1. Charlson, M., Pompei, P., KL, A. & MacKenzie, C. A new method of classifying prognostic comorbidity in longitudinal studies: development and validation. *J Chron Dis* **40**, 373–383 (1987).
2. National Statistics, *Scottish Index of Multiple Deprivation: 2009 General Report*. 2009, Scottish Government National Statistics Publications: Edinburgh.

## SUPPLEMENTARY TABLES AND FIGURES

Table E1: Number of Intensive Care beds and occupancy rates per healthboard 2005-2009

Healthboard	No. of Level 2/3 beds	bed occupancy (%)
Ayrshire & Arran	12.2	72.8
Borders	5.6	56.6
Dumfries & Galloway	4.0	81.9
Fife	9.9	74.1
Forth Valley	9.6	78.6
Grampian	13.9	71.7
Greater Glasgow and Clyde	43.6	74.5
Highlands & Islands	7.4	76.3
Lanarkshire	22.2	79.2
Lothian	34.6	76.4
Tayside	10.4	70.4

Table E2: Comorbidities (combined APACHE and Charlson-defined) by organ system in the elderly, older and younger groups

	<b>Total</b>	<b>%</b>	<b>&lt;65</b>	<b>%</b>	<b>65-79</b>	<b>%</b>	<b>≥80</b>	<b>%</b>
<b>Co-morbidities</b>								
<b>Respiratory</b>	3537	8.8	1564	7.0	1657	11.9	316	8.2
<b>Cardiovascular</b>	3267	8.1	905	4.0	1810	13.0	552	14.3
<b>Malignancy</b>	3196	8.0	1428	6.4	1480	10.6	288	7.5
<b>Renal disease</b>	1163	2.9	536	2.4	487	3.5	140	3.6
<b>Hepatic</b>	2066	5.1	1663	7.4	370	2.7	33	0.9
<b>Cerebrovascular</b>	597	1.5	218	1.0	300	2.2	79	2.0
<b>Dementia</b>	68	0.2	7.0	0.0	31	0.2	30	0.8
<b>Diabetes</b>	1743	4.3	871	3.9	734	5.3	138	3.6

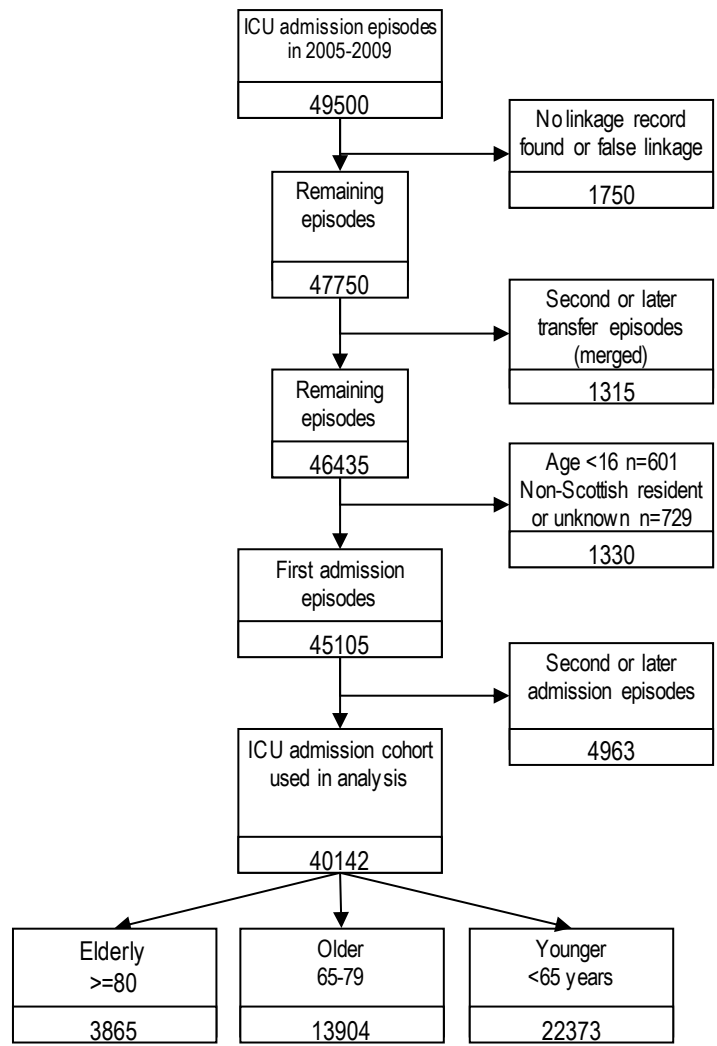
Table E3: Presence and duration of organ support and ICU length of stay stratified by age. \*Chi<sup>2</sup> test-for-trend.

	<65	%	65-79	%	≥80	%	p-value
<b>Presence of Organ Support</b>							
<b>Mechanical ventilation Day 1 n(%)</b>	14496	65.2	8324	60.5	2077	55.0	<0.001
<b>Inotropes n(%)</b>	8609	38.7	6867	49.9	1814	48.0	<0.001
<b>RRT n(%)</b>	2514	11.3	1987	14.4	339	8.9	<0.001
<b>Max number of organs supported n(%)</b>							0.01*
<b>Whole cohort</b>							
0	6118	27.5	3837	27.6	1226	32.2	
1	8386	37.7	4084	29.4	1156	30.4	
2	5960	26.8	4441	31.9	1192	31.3	
3	1771	8.0	1404	10.1	230	6.0	
<b>Max number of organs supported n(%)</b>							<0.001
<b>Restricted to those ventilated on day 1</b>							*
<b>Total n for MV day 1 subgroup</b>	14496		8324		2077		
1	7162	49.4	2836	34.1	723	34.8	
2	5563	38.4	4084	49.1	1124	54.1	
3	1771	12.2	1404	16.8	230	11.1	
<b>Duration of Support</b>							
<b>MV med (1<sup>st</sup>,3<sup>rd</sup>,max quartiles)</b>	1 (0,4,303)		2 (1,6,284)		1 (0,2,46)		<0.001
<b>Survivors</b>	1 (0,3,303)		2 (1,6,250)		0 (0,2,45)		<0.001
<b>Non survivors</b>	3 (1,6,136)		3 (2,7,284)		2 (1,4,46)		<0.001
<b>Inotrope med (1<sup>st</sup>,3<sup>rd</sup>,max quartiles)</b>	0 (0,2,70)		1 (0,3,58)		0 (0,2,27)		<0.001
<b>Survivors</b>	0 (0,1,63)		1 (0,3,36)		0 (0,1,19)		<0.001
<b>Non survivors</b>	2 (1,4,70)		2 (1,4,58)		2 (1,3,27)		<0.001
<b>RRT med (1<sup>st</sup>,3<sup>rd</sup>,max quartiles)</b>	0 (0,0,58)		0 (0,0,48)		0 (0,0,23)		<0.001
<b>Survivors</b>	0 (0,0,124)		0 (0,0,38)		0 (0,0,23)		<0.001
<b>Non survivors</b>	0 (0,1,58)		0 (0,1,48)		0 (0,0,21)		<0.001
<b>ICU LOS (1<sup>st</sup>,3<sup>rd</sup>,max quartiles)</b>	2.0 (0.9,5.3,39.6)		2.9 (1.1,7.8,284.8)		1.9 (0.9,3.8,57.9)		<0.001
<b>Survivors</b>	2.0 (0.9,5.2,39.5)		3.1 (1.5,8.1,263.0)		1.9 (0.9,3.7,50.9)		<0.001
<b>Non-survivors</b>	1.9 (0.7,5.9,34.5)		2.0 (0.7,6.8,284.8)		1.6 (0.5,4.8,57.9)		0.023

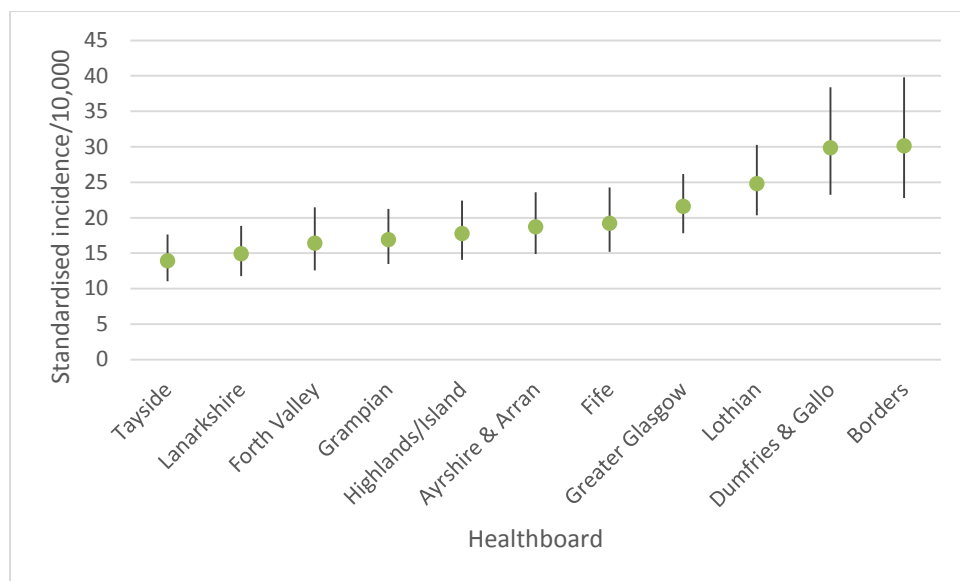


Table E4: Subgroup analysis: no recorded comorbidities. Organ support and outcomes for patients admitted with pneumonia or emergency abdominal surgery restricted to those with no recorded comorbidities and receiving mechanical ventilation. Odds ratios reference category (REF) <65yr “Younger” group. Adjusted for the following confounders: sex, socioeconomic status, number of admissions in the past year, admission from non-home residence, acute physiology score.

	<65y	%	65-79	%	≥80	%	p value
<b>Pneumonia</b>							
<b>n</b>	1219		736		183		
<b>RRT n(%)</b>	252	20.7	167	22.7	24	13.1	0.014
<b>Adj OR (95% CI)</b>	REF		1.18 (0.92, 1.50) p=0.190		0.53 (0.59, 0.94) p=0.010		
<b>CV support n(%)</b>	810	66.4	538	73.1	146	79.8	0.051
<b>Adj OR (95% CI)</b>	REF		1.33 (1.07, 1.67) p=0.012		1.92 (1.26, 3.01) p=0.003		
<b>ICU mortality n(%)</b>	297	24.4	298	40.5	109	59.6	<0.001
<b>Adj OR (95% CI)</b>	REF		2.29 (1.84, 2.86) p<0.001		5.02 (3.50, 7.25) p<0.001		
<b>1 year mortality n(%)</b>	400	32.8	410	55.7	146	79.8	<0.001
<b>Adj OR (95% CI)</b>	REF		2.87 (2.33, 3.53) p<0.001		8.28 (5.54, 12.65) p<0.001		
<b>Emergency abdominal surgery</b>							
<b>n</b>	1176		1315		587		
<b>RRT n(%)</b>	127	10.8	192	14.6	52	8.9	<0.001
<b>Adj OR (95% CI)</b>	REF		1.31 (0.99, 1.75) p=0.057		0.89 (0.61, 1.30) p=0.558		
<b>CV support n(%)</b>	592	50.3	862	65.6	358	61.0	<0.001
<b>Adj OR (95% CI)</b>	REF		1.98 (1.64, 2.40) p<0.001		1.58 (1.25, 2.00) p<0.001		
<b>ICU mortality n(%)</b>	128	10.9	263	20.0	131	22.3	<0.001
<b>Adj OR (95% CI)</b>	REF		2.22 (1.68, 2.97) p=0.002		3.09 (2.21, 4.31) p<0.001		
<b>1 year mortality n(%)</b>	243	20.7	532	40.5	320	54.5	<0.001
<b>Adj OR (95% CI)</b>	REF		2.68 (2.18, 3.32) p<0.001		5.66 (4.40, 7.29) p<0.001		



**Figure E1: Consort diagram**



**Figure E2:** Incidence of elderly patients mechanically ventilated on day 1 of ICU admission/10,000 elderly Scottish population standardized for sex and socioeconomic status for each healthboard (2005-09). Incidence with 95% CI. Differences between healthboards  $p < 0.001$ ,  $\chi^2$  92.2, df 10.